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1 **Different cardiorespiratory effects of indoor air pollution intervention with**
2 **ionization air purifier: findings from a randomized, double-blind crossover**
3 **study among school children in Beijing**

4

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30 **Declarations of interest**

31 None.

32

33 **Abstract**

34 Indoor air pollution is associated with numerous adverse health outcomes. Air
35 purifiers are widely used to reduce indoor air pollutants. Ionization air purifiers are
36 becoming increasingly popular for their low power consumption and noise, yet its
37 health effects remain unclear. This randomized, double-blind crossover study is
38 conducted to explore the cardiorespiratory effects of ionization air purification among
39 44 children in Beijing. Real or sham purification was performed in classrooms for 5
40 weekdays. Size-fractionated particulate matter (PM), black carbon (BC), ozone (O₃),
41 and negative air ions (NAI) were monitored, and cardiorespiratory functions were
42 measured. Mixed-effect models were used to establish associations between
43 exposures and health parameters. Real purification significantly decreased PM and
44 BC, e.g. PM_{0.5}, PM_{2.5}, PM₁₀ and BC were decreased by 48%, 44%, 34% and 50%
45 respectively. O₃ levels were unchanged, while NAI was increased from 12 to 12,997
46 cm⁻³. Real purification was associated with a 4.4% increase in forced exhaled volume
47 in 1 second (FEV₁) and a 14.7% decrease in exhaled nitrogen oxide (FeNO).
48 However, heart rate variability (HRV) was altered negatively. Interaction effects of
49 NAI and PM were observed only on HRV, and alterations in HRV were greater with
50 high NAI. Ionization air purifier could bring substantial respiratory benefits, however,
51 the potential negative effects on HRV need further investigation.

52 **Keywords:** ionization air purifier; size-fractionated PM; children; lung function;
53 cardiac autonomic function.

54 **Capsule:** This study suggested that ionization air purification could bring substantial
55 respiratory benefits while potential negative effects on cardiac autonomic function.

56 **1.Introduction**

57 Numerous studies have reported associations between air pollution and adverse
58 health outcomes among different populations. On average, people spend >80% of
59 their time within indoor environments(Almeida-Silva et al., 2014; Klepeis et al.,
60 2001; Zhao et al., 2018), and it has been indicated that indoor air pollution could pose
61 an equal, or even higher, risk to morbidity and mortality compared to ambient air
62 pollution(Karottki et al., 2014; Karottki et al., 2015). Indeed, World Health
63 Organization (WHO) reported that 4.2 million and 3 million premature deaths were
64 attributable to household and ambient air pollution, respectively, in 2012(WHO,
65 2014, 2016). At present, indoor PM is still a severe environmental problem in both
66 developed and developing countries. For instance, in China, some researchers
67 reported that the average fine particulate matter (PM_{2.5}) concentration reached about
68 60 µg/m³ within residences in urban Beijing(Pan et al., 2018), largely higher than the
69 WHO Interim Target 1 (35 µg/m³) for outdoor pollution. Furthermore, it was
70 observed that adverse health effects are associated with indoor PM exposure in
71 countries with relatively low pollution levels (<20 µg/m³)(Allen et al., 2011; Karottki
72 et al., 2013).

73 Air purifiers have been widely used as an effective measure to reduce indoor
74 particulate matter (PM) pollution. Previous studies have investigated different kinds
75 of air purifiers and their health effects. The mechanic filters, such as high-efficiency
76 air particulate (HEPA) filtration purifiers, could lower indoor pollution and have

77 cardiorespiratory benefits in human subjects(Huichu Li et al., 2017; Luo et al., 2018;
78 Liu et al., 2018; Butz et al., 2011; Kajbafzadeh et al., 2015) while other studies
79 demonstrated that HEPA air purifiers could not significantly improve
80 cardiorespiratory function in adults(Cui et al., 2018; Day et al., 2017a). Also, some
81 researchers paid attention to other types of purifiers, such as electrostatic precipitator
82 purifiers (ESP)(Day et al., 2017a; Skulberg et al., 2005). Association between the use
83 of ESP and improved lung function was found among office workers(Skulberg et al.,
84 2005). However, another study showed that the operation of ESP could generate
85 incidental ozone (O₃)(Day et al., 2017b), which is recognized as a potential health
86 hazard to people(Day et al., 2017b; Hongyu Li et al., 2017). **It is reported that ESP**
87 **could even increase some cardiovascular risks** (Day et al., 2017a). Besides,
88 associations between the use of electret air filters and improved cardiorespiratory
89 function were found among adults (Chen R et al., 2015; Chuang et al., 2017). While
90 to the best of our knowledge, the ionization air purifier and its health effects have not
91 been widely explored.

92 Currently, due to the low power consumption and noise, ionization air purifiers
93 are manufactured for use in buildings such as homes and industrial environments in
94 different countries(Berry et al., 2007; Grinshpun et al., 2005; Shiue and Hu, 2011).
95 Nowadays more and more primary and middle schools have installed ionization air
96 purifier for indoor intervention in Beijing, China. Although given evidences have
97 shown high purification efficiencies of ionization air purifiers on air pollutants

98 (Grabarczyk, 2001; Krueger and Reed, 1976), it remains unknown related to its
99 cardiorespiratory effects. Moreover, some studies showed that some ionization air
100 purifiers could generate O₃ in a similar manner to ESP(Niu et al., 2001). This also
101 presents an initial route of concern that ionization air purifiers may have unforeseen
102 effects on health.

103 Children are considered as a potentially susceptible population to air pollution
104 since their organ systems are developing rapidly(Dietert et al., 2000; Hoek et al.,
105 2012; Morgenstern et al., 2008; Weinmayr et al., 2010). Previous evidences have
106 showed that exposure to PM was associated with adverse cardiorespiratory effects
107 among children(Hoek et al., 2012; Calderón-Garcidueñas et al., 2007). School
108 children spend most of their daytime in classrooms, where indoor PM could be an
109 underlying health risk factor. Air purifiers have been installed in schools to protect
110 children from air pollution in cities such as Beijing(Mo, 2017), thus it is necessary to
111 explore the potential effects of purifiers that have been put into use. Therefore, we
112 conducted a randomized, double-blind crossover study using a commercially
113 available ionization air purifier among a group of school children to: 1) examine the
114 purification efficiency of the purifier in reducing size-fractionated PM and black
115 carbon (BC); 2) evaluate O₃ and negative air ions (NAI) emissions from purifiers; 3)
116 explore the cardiorespiratory effects of ionization air purification; 4) establish
117 associations between size-fractionated PM, BC, NAI and health parameters. The
118 findings will provide evidence-based guidance on the application of ionization air

119 purifiers and could bring new insight in protecting children health from indoor air
120 pollution.

121 **2.Methods and Materials**

122 **2.1.Study design and participants**

123 A randomized, double-blind crossover study was conducted from December,
124 2017 to March 2018 in a middle school in Daxing District, a suburban area with
125 relatively high air pollution, in the south of Beijing, China. The school was basically
126 constructed in cement structure. The surfaces of walls and floors had been slightly
127 damaged, which could generate cement dust, one of the important sources for
128 PM(Tian et al., 2015). We calculated the sample size based on the formula $N =$
129 $\frac{(z_{\alpha}+z_{\beta})^2 \sigma^2}{d^2}$. Specifically, $\alpha = 0.05$, $\beta = 0.10$, $d = 0.037$ L and $\sigma = 0.083$ L, the latter
130 two parameters were based on the lung function parameter, forced exhaled volume in
131 1 second (FEV₁) from a previous study (Gao et al., 2013). The sample size we
132 calculated was 40. Taking into account the 20% rate of loss to follow-up, the final
133 sample size was determined to be 48. As there are only 6 classes of grade one in this
134 junior high school, we randomly recruited 8 children per classroom for a total of 48
135 participants with the following certain criteria: 1) aging from 11 to 14; 2) living in
136 Beijing for more than two consecutive years; 3) not suffering any health conditions;
137 4) having no asthma and thoracic surgery history; 5) living in school dormitories from
138 Monday to Friday.

139 Before the study, six ionization air purifiers were installed about 1.2 meters
140 below the ceilings, in an identical position in each classroom. As the ceilings were 4.5
141 meters high in every classroom, the purifiers were 3.3 meters from the floor
142 vertically. Two different treatments were employed, “real” (machine turned on) and
143 “sham” (machine turned off) purification, in a random order with a 2-month washout
144 period. We considered that exams and other school events might influence the health
145 outcomes of the participants (e.g. heart rate), so those time periods were avoided.
146 Besides, after winter holiday, it was after two weeks that we began the second period
147 of the study in order that the participants got used to the school environment. The
148 treatments were randomized by classrooms as is shown in the flow chart (**Figure 1**),
149 and **Table S1** in supplemental material presents the details for each classroom
150 including the date of treatment and the number of participants. Since the operation of
151 the purifiers was silent and the indication lights were removed, both the participants
152 and field investigators could not distinguish the operation statuses. Each treatment
153 lasted five weekdays (Monday to Friday) starting at 7:00 and ending at 17:00
154 according to the school schedule. The study was conducted in the winter heating
155 season in Beijing, thus all windows and doors were kept closed except two small
156 ventilation openings with an area of 0.09 m². The participants were instructed to stay
157 within the classrooms as much as possible. A self-administered activity questionnaire
158 was given to each participant during the treatments. They were told to record the time
159 and place when they went outside, such as lunch break and toilet visit.

160 Before the study began, the study protocol was approved by the Review Board of
161 Peking University Health Science Center, which conforms to Declaration of Helsinki.
162 Before inclusion, written informed consents were provided by all participants and
163 their guardians, who could withdraw from the study at any time.

164 **2.2.Exposure Measurements**

165 All exposure measurement devices were installed at the height of breathing zone
166 (about 1.2 m high from the floor) at the same position of each classroom.

167 Measurements started at 7:00 am and ended at 17:00 pm from Monday to Friday.

168 Exposure measurements included size-fractionated PM, BC, O₃, NAI, carbon dioxide
169 (CO₂), noise, temperature and relative humidity (RH). Machines used for

170 measurements were as follows: size-fractionated PM (Model Handheld PC3016;

171 GrayWolf Inc., USA), BC (microAeth Model AE51; Magee Scientific, Berkeley, CA,

172 USA), O₃ (Aeroqual Series 500; Aeroqual, New Zealand), CO₂ (Model HCZY-1;

173 Tianjianhuayi Inc., Beijing, CHINA), noise (Model ASV5910; Hangzhouaihua Inc.,

174 Hangzhou, CHINA), NAI (COM-3200 Pro II; Com System.Inc, Japan), real-time

175 temperature and RH (Model WSZY-1B; Tianjianhuayi Inc., Beijing, CHINA). All

176 exposure measurements were recorded as 5-min segments in line with heart rate

177 variability (HRV) indices, and calculated as 1-h averages for ST-segment elevation

178 and 8-h (08:00-16:00) averages for the other health measurements.

179 **2.3.Health measurements**

180 Health parameters were measured by trained investigators on Monday,
181 Wednesday and Friday of each treatment period. Pulmonary tests, blood pressure
182 (BP) tests and exhaled breath condensate (EBC) collections were conducted at 7:00-
183 9:00 am and 15:00-17:00 pm. Ambulatory electrocardiogram (ECG) monitoring,
184 including HRV, heart rate (HR) and ST-segment elevation, began at 8:00 am, and
185 ended at 15:00-16:00 pm. To avoid possible variation arising between different
186 investigators, the same investigator ran the same tests throughout the study wherever
187 possible.

188 **2.3.1.Pulmonary tests**

189 FeNO was measured by the NIOX VERO® machine (Aerocrine AB, Solna,
190 Sweden) following standardized procedures(Peltier, 2005). Participants were asked to
191 refrain from exercise, food and beverage 1 hour before. After the FeNO tests, a
192 portable PEF meter (Model 2110; Vitalograph Ltd., UK) was used to measured FEV₁
193 and peak expiratory flow (PEF) simultaneously following American Thoracic
194 Society/European Respiratory Society (ATS/ERS) recommendations(Miller et al.,
195 2005). For FEV₁ and PEF, each measurement included two blows, and two to five
196 measurements were conducted in each participant for each time. Once relative
197 difference of two measurements was less than 10%, the better result of two blows was
198 recorded for final analysis.

199 **2.3.2.Blood pressure tests**

200 Following at least 10 minutes of rest, upper arm blood pressure was measured
201 using an automated oscillometric monitor (HEM-7052; Omron Healthcare Co. Ltd.,
202 Japan) at three times with a minimum 3-minute interval. We calculated the averages
203 of the blood pressure values (from the second to the last measurement) within a 5-
204 mmHg range of difference and recorded them as the final outcomes.

205 **2.3.3. Ambulatory electrocardiogram (ECG) monitoring**

206 ECG monitoring were conducted using a 12-channel Holter monitor (model
207 MGY-H12; DM Software Inc., USA), which was positioned on the participants using
208 a standard protocol. The participants were instructed not to take any designated food
209 or drink (e.g. coffee, wine, tea) that may affect HRV and avoid high intensity exercise
210 on the day of, and the day before, the health measurements. Participants were
211 instructed to wear the Holter monitors for 7-8 hours, during which they were told to
212 stay indoor as much as possible and record their activities in the formatted diaries.
213 Further details and data processing procedure have been documented in our previous
214 work(Pan et al., 2018).

215 **2.3.4. Sample collection and biomarker assay**

216 EBC was collected using a designated device (Dingblue Tech., Ltd, China) that
217 have been used in a previous study(Zheng et al., 2017), and according to ATS/ERS
218 recommendation(Horváth et al., 2005). All samples were immediately stored at -80°C.
219 **Malondialdehyde (MDA) were measured as an indicator of oxidative stress in EBC.**

220 The method of high-performance liquid chromatography (HPLC) with fluorescence
221 detection was used according to previous study(L ärstad et al., 2002).

222 **2.4. Statistics Analysis**

223 We used paired t-tests to compare exposure levels (8-h averages) and health
224 measurements between two periods. Mixed-effect models were conducted to examine
225 the effects of real purification and different exposures on the health parameters, and
226 explore the possible interaction effects between different exposures and between
227 gender and indoor air pollutants. Health measurements were log₁₀-transformed to
228 improve the normality and stabilize the variance due to skewed distribution, except
229 ST-segments elevation, among which there were zero values. We controlled for
230 personal characteristics, including age, gender and BMI, classroom and long-term
231 time trend, including day-of-measurement and squared day-of-measurement, as fixed-
232 effect terms. Day-of-measurement means the count of the day that the measurement
233 was conducted over the whole study course. In addition, other potential confounders
234 were included as fixed-effect terms such as hour of day, day of week, noise,
235 temperature, RH and CO₂.⁹

236 To investigate the effect of purification and exposures, mixed-effect models were
237 fit, in which real purification was coded as “1” and sham purification as “0”:

$$238 \quad Y_{it}=b_0+u_i+b_1x_1+\dots+b_px_p+\beta (\text{treatment or exposure}) +\varepsilon_{it}$$

239 where Y_{it} is the logarithm of health measurement in subject i at time t , b_0 is the overall
240 intercept, u_i is the specific random intercept for the subject i , x_1-x_p are covariates, b_1-

241 b_p are regression coefficients for x_1-x_p , β is the regression coefficient for treatment or
242 exposure, and ε_{it} is the error for subject i at time t .

243 We estimated percent change with 95% confidence intervals (CI) in \log_{10} -
244 transformed health measurements, and value changes of ST-segment elevation per
245 interquartile range (IQR) increase in moving average of each exposure measurements
246 with 95% confidence intervals. Percent changes were calculated as $[10^{(\beta \times \text{IQR})} - 1] \times 100\%$,
247 with 95% CI $\{10^{[\text{IQR} \times (\beta \pm 1.96 \times \text{SE})]} - 1\} \times 100\%$, where β and SE were the estimated
248 regression coefficients and its standard error, respectively (Wu et al., 2010). All data
249 were analyzed using the “nlme (version 3.1-128)” package for R software (version 3.3.2;
250 R project for Statistical Computing).

251 **3. Results**

252 **3.1. Participants characteristics**

253 Forty-four participants completed the whole study (see **Table 1**). There were 24
254 (55%) boys and 20 (45%) girls, and the ages ranged from 11 to 14 years old, with an
255 average of 12.4 (± 0.8). The average of body mass index (BMI) was 18.7 ± 3.3 among
256 the participants. The variance homogeneity test showed that there was no significant
257 difference among the participant groups from different classes. According to the self-
258 reported activity diaries, all participants spent more than 80% of their time in
259 classroom during the exposure monitoring period (data not shown).

260 **3.2. Exposure measurements statistics**

261 **Table 2** presents the comparisons of indoor exposure measurements. Size-
262 fractionated PM and BC were significantly lower during real purification ($P<0.05$).
263 The purification efficiency for BC was the highest with a reduction rate of 50%. For
264 size-fractionated PM, higher purification efficiency was shown in smaller PM ($PM_{0.5}$
265 VS $PM_{2.5}$ VS PM_{10} : 48% VS 44% VS 34%). NAI was markedly higher during real
266 purification (12997 cm^{-3} VS 12 cm^{-3} , $P<0.001$). No significant difference was
267 observed in O_3 , RH, temperature and noise between two scenarios.

268 **3.3. Health measurements statistics**

269 **Table 2** also gives the comparison for health measurements among the
270 participants between two periods. FEV_1 and PEF were higher during real purification,
271 however, only the difference in FEV_1 was statistically significant (2.34 L VS 2.19 L,
272 $P<0.01$). FeNO was found significantly lower during real purification (15 ppb VS 17
273 ppb, $P<0.01$). MDA in EBC tended to be lower after real purification compared to
274 sham purification ($0.20\text{ }\mu\text{mol/L}$ VS $0.24\text{ }\mu\text{mol/L}$, $P=0.06$). Blood pressure indices
275 showed no significant differences between the two periods. Interestingly, we observed
276 marked significant differences in HRV indices. Power in high frequency (HF), power
277 in low frequency (LF), and standard deviation of all NN intervals (SDNN) were
278 significantly lower during real purification, while heart rate (HR) and LF to HF ratio
279 (LF/HF) were significantly higher. II_ST, V2_ST and V5_ST are three representative
280 leads in ST-segment analysis as an indicator for ischemic burden(Langrish et al.,
281 2012). Slight decreases in ST-segment elevation were observed in the three leads,

282 among which that in V5_ST showed statistical significance ($P < 0.01$). Additional
283 testing confirmed that the Holter monitors were not directly disturbed by the
284 operation of ionization air purifiers (see Supplementary Material, Supplemental Test
285 and **Table S2**).

286 In addition, we compared the health measurement of Monday morning (before
287 treatment) and Friday afternoon (after treatment) between real and sham purification
288 periods (**Table 3**). The results are in lines with the averages of three days shown in
289 **Table 2**, which supports that the health changes were attributed to the indoor air
290 purification rather than the different time periods.

291 **3.4. Estimated effect of air purification**

292 To explore estimate effects of purification on the health measurements, we
293 conducted mixed-effect models after adjusting potential confounders (see **Figure 2**).
294 As **Figure 2** shows real purification was associated with 4.4% increase in FEV₁ and
295 14.7% decrease in FeNO compared to sham purification among all the participants.
296 Blood pressure results did not show significant differences. Significant alterations
297 were observed among all HRV indices. HF, LF and SDNN were decreased by 18.8%,
298 13.4% and 5.4%, respectively, and HR and LF/HF was increased by 3.1% and 14.2%,
299 respectively. Elevations in II_ST and V5_ST were decreased by 0.008mV and
300 0.019mV, respectively.

301 **3.5. Estimated effect of PM and BC**

302 As the ionization air purification could significantly reduce the indoor levels of
303 PM and BC, we analyzed the estimated effects of those pollutants on health
304 parameters using mixed-effect models.

305 **Figure 3A** shows the estimated percent changes in respiratory measurements per
306 IQR increases in size-fractionated PM and BC. The greatest decrease of FEV₁ was
307 6.5% per IQR increase in PM_{0.5} (17.9 µg/m³), and the greatest increase of FeNO was
308 23.5% per IQR increase in PM_{1.0} (22.2 µg/m³). BC was associated with 7.0% decrease
309 in FEV₁ and 22.1% increase in FeNO per IQR increase in BC (3.6 µg/m³). Increases
310 in MDA in EBC were associated with levels of PM and BC, but these effects were not
311 statistically significant.

312 **Figure 3B** shows percent changes in HRV indices per IQR increases in size-
313 fractionated PM and BC over different moving averages. The greatest decrease in HF
314 was 16.1% per IQR increase in PM_{0.5} (17.9 µg/m³) at 5-min moving average. The
315 smaller PM was, the stronger the effect observed. The greatest decreases were
316 observed at 5-min moving averages for PM_{0.5} and PM_{1.0}, but 2-h moving averages for
317 PM_{2.5}, PM₅ and PM₁₀. For BC, greatest decrease in HF was 18.8% per IQR increase
318 (3.6 µg/m³) at 3-h moving average. The association patterns of other indices were
319 similar to HF (see **Figure 3B** and Supplementary Material, **Figure S1**). **Figure 3C**
320 shows estimated changes in ST-segment elevation per IQR increase in size-
321 fractionated PM and BC. We observed significant increases in V5_ST elevation

322 associated with PM_{0.5} and PM_{1.0}. The greatest increase in V5_ST elevation was 0.022
323 mV per IQR increase in PM_{1.0} (22.2 µg/m³).

324 **3.6. The interaction of NAI with PM and BC**

325 Significance was observed in the interaction effects of NAI with PM and BC on
326 HRV but not on pulmonary function. Therefore, we analyzed the effect on HRV in
327 real and sham purification separately (see **Figure 4**). In general, the effects of PM_{2.5},
328 PM_{5.0} and PM₁₀ were close at different moving averages between two periods.
329 However, the effects of PM_{0.5}, PM_{1.0} and BC appeared greater during real
330 purification. A reduction of 35.1% in HF was observed per IQR increase in PM_{1.0}
331 (22.2 µg/m³) at 5-min moving average during real purification, but only 25.2% during
332 sham purification. The results were similar for LF and SDNN during the two periods
333 (see Supplementary Material **Figure S3**). Besides, no significant interaction effects of
334 gender and indoor air pollutants was found on cardiorespiratory function (**Table S3**).

335 **4. Discussion**

336 To date, this is the first study to investigate the health effects of ionization air
337 purification on cardiorespiratory parameters among children. The purifier used in this
338 study had a high efficiency for reducing size-fractionated PM and BC. Consequently,
339 we found improved lung function, reduced airway inflammation, less oxidative stress
340 and a lowered potential myocardial ischemia risk after purification. **However,**
341 **potentially negative changes were observed in HRV indices. Further analysis showed**
342 **that increases in PM and BC were associated with decrements in all health**

343 parameters, indicating that reduction of the pollution might bring improvements in all
344 measured cardiorespiratory parameters. However, heterogeneity was observed related
345 to the effect of NAI. Our findings suggested exposure to high NAI might have
346 adverse effect on cardiac autonomic function while other parameters were positively
347 affected. To conclude, adverse respiratory effects of PM and BC were substantially
348 ameliorated by using ionization air purification, however, the benefits in cardiac
349 autonomic function of the reduction in particulate pollution appeared to be lost due to
350 the high levels of NAI emitted by air purifiers.

351 Previous studies have examined the efficiencies of ionization purifiers, but not to
352 the depth of the current study that examined reduction efficiency on size-fractionated
353 PM and BC. Higher purification efficiencies were found for BC and smaller PM (i.e.
354 $PM_{0.5}$, $PM_{1.0}$ and $PM_{2.5}$) compared to $PM_{2.5-10}$. The reduction rate for BC and $PM_{0.5}$
355 were about 50% while that was about 30% for PM_{10} . Previous studies have
356 demonstrated health benefits from lowering indoor pollution with filtration air
357 purifiers among different populations (Brown et al., 2014; Huichu Li et al., 2017). In
358 our study, different cardiorespiratory effects were found among the children after
359 ionization air purification. Compared to filtration air purifiers, the essential feature of
360 ionization air purifier is to emit NAI, which could enhance the gravitational
361 settlement of airborne particles (Grinshpun et al., 2005). Therefore, we conducted
362 further analysis to explore the associations between PM, BC and NAI with different
363 health parameters.

364 As is implied in **Figure 3**, decreases in size-fractionated PM and BC were
365 associated with improvements of those health outcomes. Several previous studies
366 investigating the potential respiratory improvements brought by indoor air
367 purification found similar results with our present study (Skulberg et al., 2005;
368 Weichenthal et al., 2013), whereas others did not. It is reported that no significant
369 changes of lung function were found with 50% purification efficiency of PM_{2.5} from
370 8µg/m³ to 4µg/m³ among the elderly (Karottki et al., 2013). Another study conducted
371 among young, healthy adults demonstrated that the beneficial impacts on lung
372 function were not statistically significant with 57% reduction in PM_{2.5} concentration
373 from 96.2 to 41.3 µg/m³ (Chen R et al., 2015). Compared with adults, children are
374 believed to be especially susceptible to the adverse effects of air pollution (Dietert et
375 al., 2000; Hoek et al., 2012; Morgenstern et al., 2008; Weinmayr et al., 2010), thus
376 our study may find some potential respiratory benefits in such vulnerable population.
377 Furthermore, our present study explored the improvements of lung function with
378 decreases in size-fractionated PM, not just PM_{2.5} and found higher purification
379 efficiencies for smaller PM compared to PM_{2.5}. Some studies indicated that smaller
380 particles have larger surface areas for a given mass, might contain more toxic
381 substances and elicit greater health effects on people (Chen W et al., 2015; Lin et al.,
382 2016), which suggested the decreases in smaller PM may have greater improvements
383 of lung function. Although the purification efficiency of PM_{2.5} in this study was less
384 than those mentioned above (Karottki et al., 2013; Chen R et al., 2015), we found

385 greater purification efficiencies of smaller PM than PM_{2.5} while those studies did not
386 explore other sizes of PM other than PM_{2.5}. In previous studies, inflammation and
387 oxidative stress have been considered plausibly as the main mechanism through
388 which air pollution affects human health(Gehring et al., 2013). Besides potential
389 benefits of reduced PM, NAI might also contribute to the decreases in airway
390 inflammation and oxidative stress, which might be due to the ability of NAI in
391 inhibiting growth of airborne microorganism(Krueger and Reed, 1976). Nevertheless,
392 the underlying mechanism still remains unidentified. Therefore, it should be further
393 explored considering the respiratory health effect of short-term air purification,
394 whether ionization purifier or other types, especially for children, a susceptible
395 population to particulate air pollution.

396 In addition, we observed higher ST-segment elevation associated with increases
397 in PM, which is similar to previous findings(Hanna and Glancy, 2015). However, the
398 association between ST-segment elevation and NAI was not found. Our results could
399 be an indication that reduction in PM pollution through air purification might lead to
400 lower ischemic risks among children. However, the results were different for cardiac
401 autonomic function. It was observed that increases in PM and BC were associated
402 with decreases in HF, LF and SDNN, similar to previous findings among young
403 adults and the elderly(Chen et al., 2007; Dong et al., 2018; Pan et al., 2018). Yet the
404 potential benefits from reduced particulate pollution might be overcast by increased
405 NAI. The possible biological and psychological effects of NAI have been previously

406 discussed(Iwama, 2004; Nakane et al., 2002; Nimmerichter et al., 2014; Ryushi et al.,
407 1998; Sirota et al., 2008). For instance, exposure to NAI might improve erythrocyte
408 deformability and aerobic metabolism(Iwama, 2004). However, the potential impact
409 of NAI on cardiac autonomic function has not been investigated among humans. As
410 our experimental test excluded the possibility that Holter monitoring was disturbed by
411 NAI, the results could indicate that NAI might exert negative impact on cardiac
412 autonomic function, which could result from unknown charge-related response
413 occurred in human body(Krueger and Reed, 1976).

414 Attention has been paid to the interaction effects of PM and other environmental
415 factors, such as temperature and noise(Huang et al., 2013; S. Wu et al., 2015).

416 Therefore, we hypothesized that NAI could interact with PM and BC and
417 subsequently pose health impacts on people. The results exhibited significant
418 interaction effects of NAI with PM and BC on HRV but not on pulmonary functions,
419 no significant interaction effects of gender and indoor air pollutants on
420 cardiorespiratory function were observed. Then we analyzed the alterations of HRV
421 associated with PM and BC in sham and real purification, respectively. Greater
422 changes were found in HF, LF and SDNN with IQR increase in PM and BC during
423 real purification period with high NAI. Forest environment was considered high in
424 NAI(Ling et al., 2010; Tammet et al., 2006). A field experiment claimed increased
425 HF and SDNN among women after exposure to forest environment(Lanki et al.,
426 2017). However, our findings implied potential negative effect of NAI on cardiac

427 **autonomic function.** The difference might be because that the forest environment was
428 more natural and complicated, thus the health benefits were resulted from multiple
429 factors. In addition, the concentration of NAI was much higher than that in forest
430 environment in this study. Therefore, it could provide implications for future
431 development of ionization air purifiers. On the one hand, ionization air purifiers might
432 not be used in high PM indoor environment like the classrooms in this study. On the
433 other hand, the emission of NAI should be controlled not only for purification
434 efficiency but also for avoiding potential negative health effect.

435 We note three main strengths in this study. Firstly, it is the first study to
436 investigate the health effects of using ionization air purifiers. **To note, we found**
437 **disparate effects between respiratory functions and cardiac autonomic function, which**
438 **could be an important indication for the application of those purifiers in the future.**
439 Secondly, we chose children, one of the most susceptible population to air pollution,
440 as participants to explore the health effects of ionization purification. Thirdly, this
441 study compared the purification efficiencies on indoor PM of different sizes and BC
442 for the first time.

443 Nonetheless, this study also has certain limitations listed as follows. Firstly, air
444 purification and environmental measurement could not be measured during the night
445 time. However, the primary aim of this study was to explore the short-term effect of
446 purification, and the repeated measurements could address the potential long-lasting
447 action of the intervention, albeit in the presence of other periods of pollution

448 exposure. Secondly, we did not measure gaseous pollutants other than ozone.
449 However, in the inhabited environments such as school, gaseous pollutants are known
450 to be very low and would not alter the substantial results(Chen et al., 2017). Thirdly,
451 due to the poor operability of sampling blood from children, we did not collect blood
452 samples yet other studies did (Huichu Li et al., 2017), so we may not obtain more
453 biomarkers to some extent.

454 **5. Conclusion**

455 This study demonstrates that ionization air purification can reduce indoor PM
456 with high purification efficiency in school classrooms. To date, our study is firstly to
457 investigate the health effects of ionization air purification. We observed that
458 ionization air purification could elicit significant benefits to respiratory system,
459 however, these benefits were seemingly off-set by apparently negative effects on
460 cardiac autonomic function. The negative effects on HRV may be attributed to the
461 very high levels of NAI from these purifiers and further studies are urgently needed to
462 confirm if NAI is the underlying mechanism, and whether it could also have other
463 unrecognized effects on the body. These results are important for the use of this type
464 of air purifier, and due consideration is needed for the balance of potentially
465 beneficial versus negative effects of this technology, and its future development.

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741 **Table 1** Demographic characteristics for the study participants

Characteristics	
Number	44
Male (%)	24 (55)
Female (%)	20 (45)
Age, years	
Mean \pm SD	12.4 \pm 0.8
Median	12
Range	11-14
BMI, kg/m ²	
Mean \pm SD	18.7 \pm 3.3
Median	18.1
Range	14.2-33.5

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743 Abbreviation: SD, standard deviation; BMI, body mass index.

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Table 2 Comparison of indoor exposure measurements and health measurements between sham purification and real purification

Variables	N ^a	Sham-purification (Mean ±SD)	Real-purification (Mean ±SD)	P value
Exposure measurements				
PM _{0.5} , µg/m ³	3097	18.8 ± 13.9	9.8 ± 8.9	<0.05*
PM _{1.0} , µg/m ³	3097	36.4 ± 21.1	19.2 ± 10.2	<0.05*
PM _{2.5} , µg/m ³	3097	72.5 ± 30.3	40.8 ± 13.3	<0.05*
PM _{5.0} , µg/m ³	3097	375.2 ± 180.3	242.8 ± 160.2	<0.01**
PM ₁₀ , µg/m ³	3097	923.6 ± 360.8	608.9 ± 280.6	<0.01**
BC, µg/m ³	3097	4.4 ± 2.1	2.2 ± 1.3	<0.01***
O ₃ , µg/m ³	3097	21 ± 6	19 ± 5	0.28
NAI, cm ⁻³	3097	12 ± 10	12997 ± 3814	<0.001***
RH, %	3127	53.3 ± 8.5	54.4 ± 8.2	0.70
Temperature, °C	3127	16.7 ± 4.4	15.2 ± 4.3	0.36
Noise, dB	3127	69.3 ± 2.6	70.1 ± 2.5	0.23
CO ₂ , µg/m ³	3127	2410 ± 1027	2865 ± 1044	0.29
Health measurements				
FEV ₁ , L	257	2.19 ± 0.50	2.34 ± 0.45	<0.01**
PEF, L/min	257	343 ± 80	346 ± 85	0.41
FeNO, ppb	257	17 ± 7	15 ± 8	<0.01**
MDA, µmol/L	257	0.24 ± 0.15	0.20 ± 0.14	0.06
SBP, mmHg	257	106 ± 7	105 ± 8	0.76
DBP, mmHg	257	64 ± 6	64 ± 6	0.96
PP, mmHg	257	40 ± 5	41 ± 6	0.86
HF, ms ²	9100	381.4 ± 346.9	349.6 ± 338.7	<0.001***
LF, ms ²	9100	982.8 ± 656.9	950.8 ± 619.3	<0.001***
SDNN, ms	9100	65 ± 23	64 ± 22	<0.001***
LF/HF	9100	4.0 ± 3.3	4.3 ± 3.2	<0.001***
HR, min ⁻¹	9100	91 ± 13	92 ± 12	<0.001***
II_ST, mV	825	0.13 ± 0.10	0.12 ± 0.11	0.49
V2_ST, mV	825	0.28 ± 0.16	0.27 ± 0.15	0.57
V5_ST, mV	825	0.10 ± 0.11	0.09 ± 0.10	<0.01**

746 Abbreviation: SD, standard deviation, PM, particulate matter; BC, black carbon; O₃, ozone; NAI,
747 negative air ion; RH, relative humidity; CO₂, carbon dioxide; FEV₁, forced expiratory volume in the
748 first second; PEF, peak expiratory flow; FeNO, fractional exhaled nitrogen oxide; MDA,
749 Malondialdehyde; SBP, systolic blood pressure; DBP, diastolic blood pressure; PP, pulse pressure; HF,
750 power in high frequency; LF, power in low frequency; SDNN, standard deviation of all NN intervals;
751 LF/HF, LF to HF ratio; HR, heart rate.
752 ^aObservation after excluding all missing values and abnormalities.

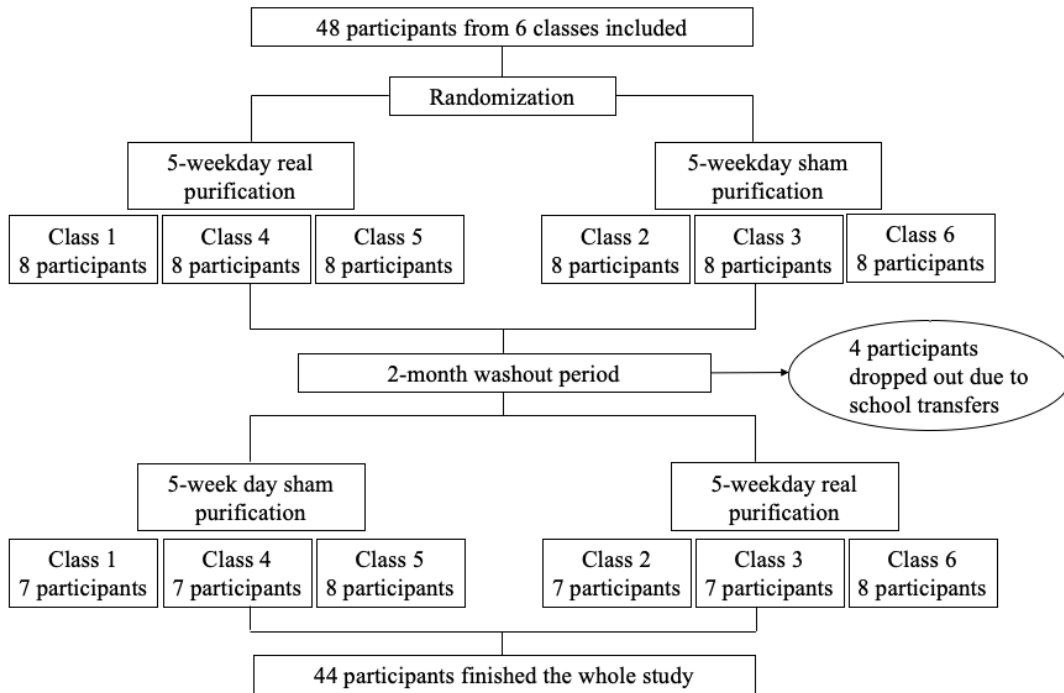
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754 **Table 3** Comparisons of health measurements on Monday mornings and Friday afternoons between
 755 sham and real purification

Variables	N ^a	Sham-purification (Mean ± SD)	Real-purification (Mean ± SD)	Difference	P value
FEV₁, L					
Monday morning	42	2.23 ± 0.51	2.25 ± 0.44	0.02	0.48
Friday afternoon	40	2.22 ± 0.52	2.38 ± 0.48	0.16	<0.05*
PEF, L/min					
Monday morning	42	317 ± 73	321 ± 76	4	0.68
Friday afternoon	40	353 ± 89	356 ± 95	3	0.53
FeNO, ppb					
Monday morning	42	19 ± 10	18 ± 11	-1	0.71
Friday afternoon	40	18 ± 8	14 ± 7	-4	<0.01**
SBP, mmHg					
Monday morning	42	108 ± 10	107 ± 9	-1	0.30
Friday afternoon	40	106 ± 7	105 ± 7	-1	0.12
DBP, mmHg					
Monday morning	42	68 ± 8	66 ± 7	-2	0.25
Friday afternoon	40	65 ± 6	63 ± 6	-2	<0.05*
PP, mmHg					
Monday morning	42	41 ± 7	41 ± 5	1	0.82
Friday afternoon	40	41 ± 6	41 ± 5	1	0.59

756 Abbreviation: SD, standard deviation; FEV₁, forced expiratory volume in the first second; PEF, peak
 757 expiratory flow; SBP, systolic blood pressure; DBP, diastolic blood pressure; PP, pulse pressure.

758 ^aObservation after excluding all missing values and abnormalities.



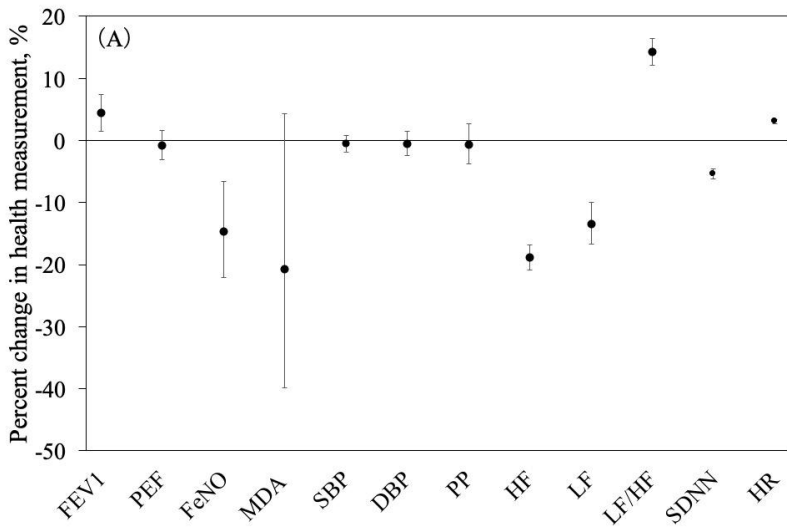
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760 **Figure 1** Flow chart of the study.

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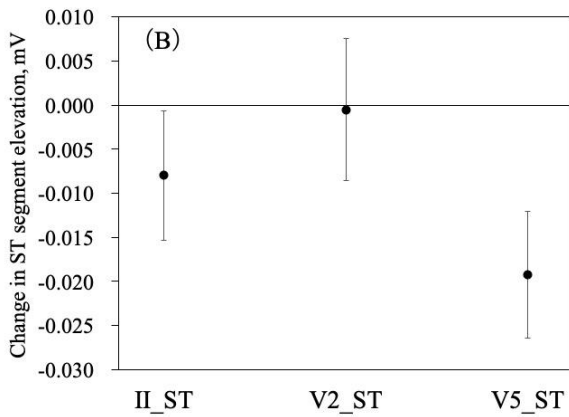
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767 **Figure 2** (A) Estimated percent changes with 95% confidence intervals in health
 768 measurements (except ST segments) with real purification; (B) Estimated changes
 769 with 95% confidence intervals in ST segments elevation with real purification.

770 ^a Abbreviations: FEV₁ (N=257), forced expiratory volume in the first second; PEF (N=257), peak
 771 expiratory flow; FeNO (N=257), fractional exhaled nitrogen oxide; MDA (N=257), Malondialdehyde;
 772 SBP (n=257), systolic blood pressure; DBP (N=257), diastolic blood pressure; PP (N=257), pulse
 773 pressure; HF (N=9100), power in high frequency; LF (N=9100), power in low frequency; SDNN,
 774 standard deviation of all NN intervals; LF/HF (N=9100), LF to HF ratio; HR (N=9100), heart rate.

775 ^b II_ST (N=825); V2_ST (N=825); V5_ST (N=825).

776 ^c N: number of observation.

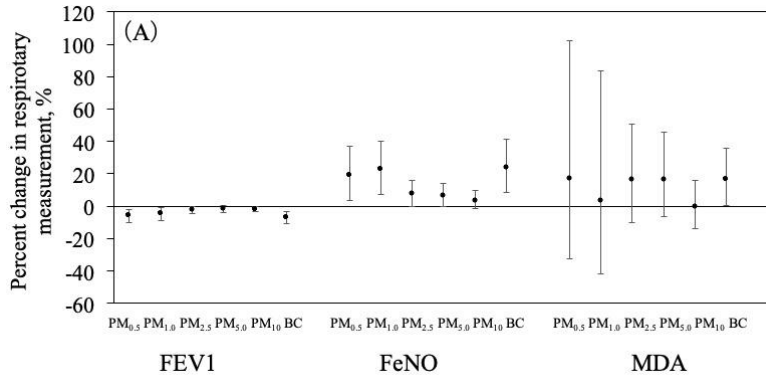
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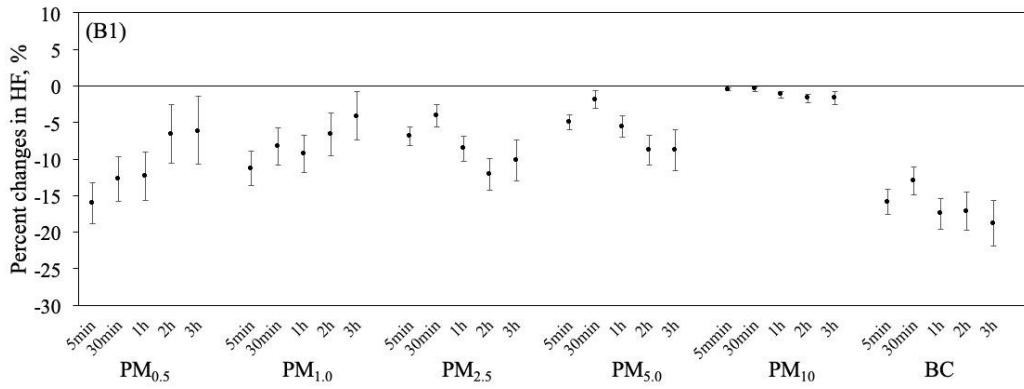
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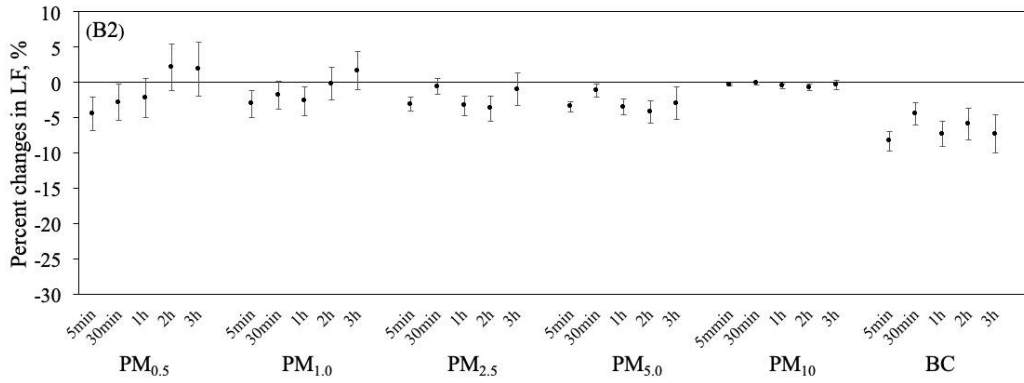
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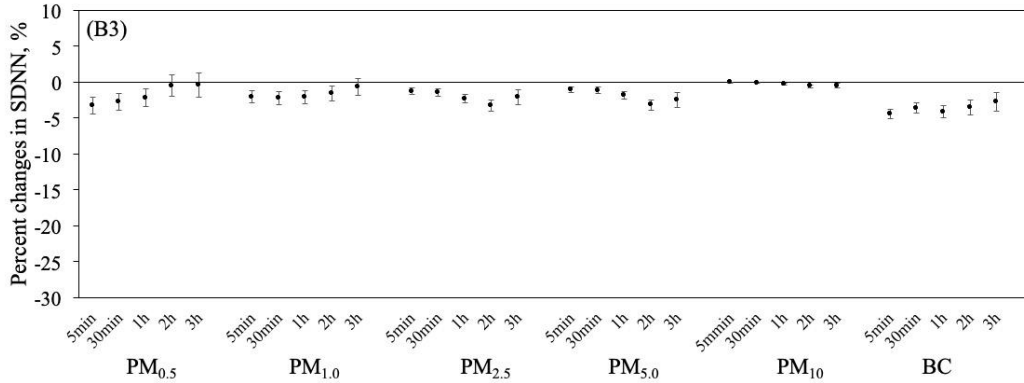
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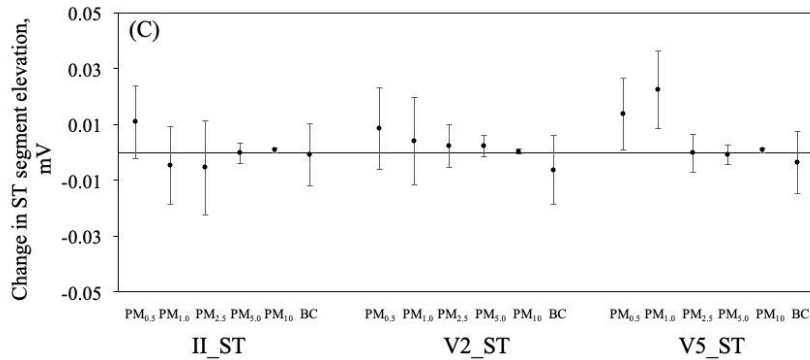


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788 **Figure 3** (A) Estimated percent changes with 95% confidence intervals in respiratory
 789 measurements per IQR increases in size-fractionated PM and BC; (B) Estimated
 790 percent changes with 95% confidence intervals in HRV indices per IQR increases in
 791 size-fractionated PM and BC over different moving averages. (B1) HF; (B2) LF; (B3)
 792 SDNN (C) Estimated changes with 95% confidence intervals in ST segment elevation
 793 per IQR increases in size-fractionated PM and BC.

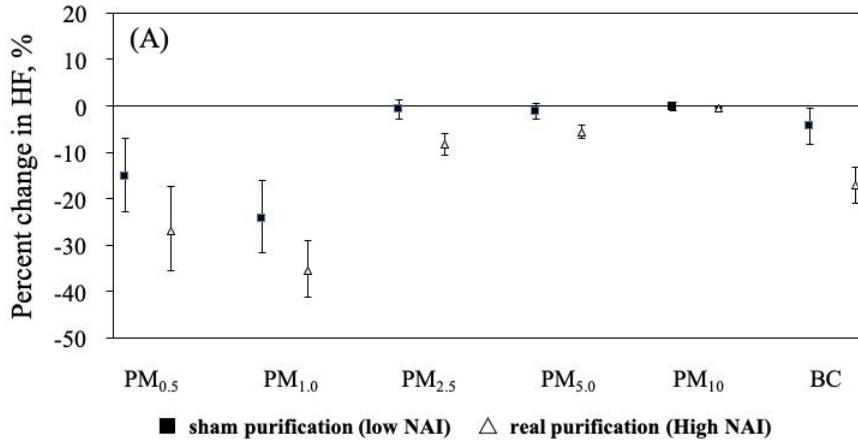
794 ^a Abbreviations: FEV₁ (N=257), forced expiratory volume in the first second; PEF (N=257), peak
 795 expiratory flow; FeNO (N=257), fractional exhaled nitrogen oxide; MDA (N=257), Malondialdehyde;
 796 SBP (n=257), systolic blood pressure; DBP (N=257), diastolic blood pressure; PP (N=257), pulse
 797 pressure; HF (N=9100), power in high frequency; LF (N=9100), power in low frequency; SDNN,
 798 standard deviation of all NN intervals.

799 ^b II_ST (N=825); V2_ST (N=825); V5_ST (N=825).

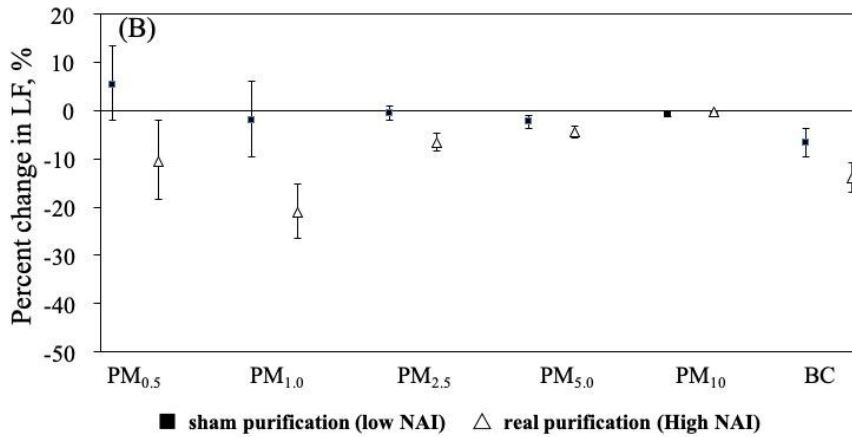
800 ^c N: number of observation.

801 ^d IQR increases: PM_{0.5}, 17.9 µg/m³; PM_{1.0}, 22.2 µg/m³; PM_{2.5}, 26.7 µg/m³; PM_{5.0}, 170.0 µg/m³; PM₁₀,
 802 331.7 µg/m³; BC, 3.6 µg/m³

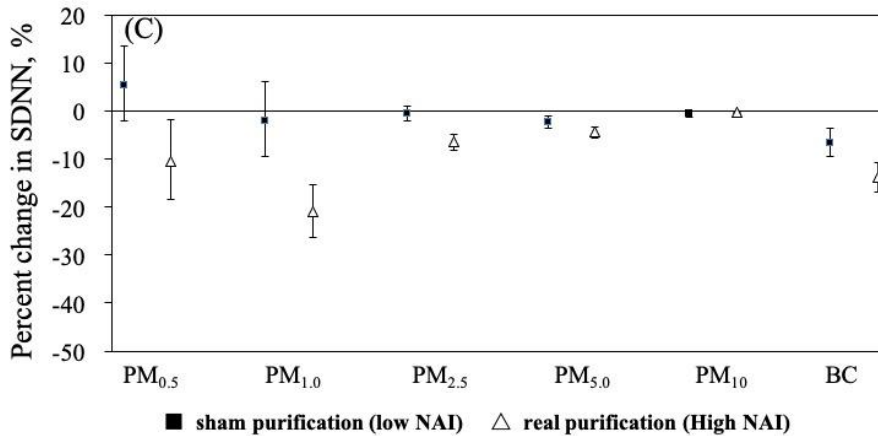
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807 **Figure 4** Estimated percent change in HRV indices per IQR increase in size-
 808 fractionated PM and BC at 5min moving average in sham-purification group and real-
 809 purification group, respectively. **Solid squares:** effect estimated in sham purification
 810 (low NAI) scenario; **open triangles:** effect estimated in real purification (high NAI)
 811 scenario. (A) HF; (B) LF; (C) SDNN.

812 ^a. Abbreviations: HF (N=4523 for sham purification; N=4577 for real purification), power in high
 813 frequency; LF (N=4523 for sham purification; N=4577 for real purification), power in low frequency;
 814 SDNN (N=4523 for sham purification; N=4577 for real purification), standard deviation of all NN
 815 intervals.

816 ^b. N: number of observation.
817 ^c. IQR increases: PM_{0.5}, 17.9 µg/m³; PM_{1.0}, 22.2 µg/m³; PM_{2.5}, 26.7 µg/m³; PM_{5.0}, 170.0 µg/m³; PM₁₀,
818 331.7 µg/m³; BC, 3.6 µg/m³
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